Electronic Acknowledgement Receipt				
EFS ID:	4439399			
Application Number:	10711889			
International Application Number:				
Confirmation Number:	5888			
Title of Invention:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES			
First Named Inventor/Applicant Name:	Ross L. Stevens			
Customer Number:	69603			
Filer:	Steven Phillips/Faye Wigfall			
Filer Authorized By:	Steven Phillips			
Attorney Docket Number:	014033-000037			
Receipt Date:	11-DEC-2008			
Filing Date:	12-OCT-2004			
Time Stamp:	16:07:23			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				
Submitted with Payment	yes			
Payment Type	Electronic Funds Transfer			
Payment was successfully received in RAM	\$670			
RAM confirmation Number	1546			
Deposit Account				
Authorized User				
File Listing:				

File Name

File Size(Bytes)/ Message Digest Multi

Part /.zip (if appl.)

Pages

Document

Number

Document Description

	Total Files Size (in bytes)		649527		
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Warnings:			·		
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Information:					
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	Applicant Arguments/Remarks Made in an Amendment		2	5	
1	Pre-Brief Conference request		1	1	
	Document Description		Start	End	
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3		037_PreAppealBrRq_121108.	326212	1405	
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			146228		
Information:					
Warnings:			37091		
1	Extension of Time	f Time 037_EOT_121108.pdf	36e26cd83514caee2dd9390752c975fc526	no	1

Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.